In the United States Patent and Trademark Office

Serial Number:
Appn. Filed: 09/11/03
Applicant(s): DALLAS L. CLOUATER JAMES M. DUNN Applicant(s): Enteric Delining of (-)-Hydroxyieta Acid Examiner/GALL:
Appn. Title: Enteric Dalining of (-) - Hydroxyetic Aciel
Continuor de los
Mailed:
AT: SANTA MONICA CA
Information Disclosure Statement
Assistant Commissioner for Patents
Washington, District of Columbia 20231
Sir:
Attached is a completed Form PTO-1449 and copies of the pertinent parts of the references cited thereon. Following are comments on
any non-English-language references pursuant to Rule 98:
Very respectfully,
1) allen of It lay to be
Applicant(s):
E TO WARD !
Enc.: PTO-1449 & References
c/o: DALLAS L CLOUATRE 1247 LINCOLN BLVD #112
SANTA MONICA, CA 90401
Telephone: 310/656-0474
/
Certificate of Mailing Express Mail
I certify that this correspondence will be deposited with the United States Postal Service as first that small with proper postage affixed
in an envelope addressed to: "Assistant Commissioner for Patents, Washington, DC 20231" on the date below.
Date: 199

FOR TH PTO-1449 (Substitute) LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)				TTA	Y. DOCKET NO.	SERIAL NO.					
				bal	las L. Clouatre, et al.						
				FIL.	ING DATE 09/11/2003	SRDUP					
			U.S	. PATEN	IT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	BTAD		N A ME	CLASS	SUBCLASS	FILING DATE IFAPPROPRIATE			
	AA	3,764,692	10/1973	Lowe	enstein	424	279				
	AB	5,536,516	07/1996	Moff	ett, et al.	426	271				
	AC	5,656,314	08/1997	Moff	ett, et al.	426	272				
	AD	5,626,849	05/1997	Hast	ings, et al.	424	195.1				
	AE	5,783,603	07/1998	Maje	ed, et al.	514	574				
	AF	5,914,326	06/1999	McCa	arty, et al.	514	188				
	AG	6,160,172	12/2000	Balas	subramanyam, et al.	562	584				
	АН	6,207,714	03/2001	Clou	atre, et al.	514	574				
	Al	6,221,901	04/2001	Shri	vastava, et al.	514	458				
	LΑ	6,395,296	05/2002	Balas	subramanyam, et al.	424	439				
	AK	6,447,807	09/2002	Clou	atre, et al.	424	494				
FOREIGN PATENT DOCUMENTS											
	AL	W0 99/03464	01/1999	Raju							
	MA	W0 00/15051	03/2000	Raju							
	AN	W0 02/14477	02/2002	Maje	ed, et al.						
	AD										
	ΑP										
		OTHER PR	OR ART (Incl.	dùg Au	ahor, Tùle, Date, Pertinent Pages, E	irc)					
	AR	see attached she	et for oth	er pri	ior art						
	AS										
	AT										
EXAMINER					DATE CONSIDERED	<u> </u>	<u></u>				

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicate.

LIST OF PRIOR ART CITED BY APPLICANT

Dallas L. Clouatre, et al. ENTERIC DELIVERY OF (-)-HYDROXYCITRIC ACID September 11, 2003

U.S. PATENT DOCUMENTS

6,476,071	11/2002	Clouatre, et al.	514	557
10/303,117	11/2002	Clouatre, et al.		
10/447,992	05/2003	Clouatre, et al.		

OTHER PRIOR ART

Clouatre, Dallas and Michael E. Rosenbaum. The Diet and Health Benefits of HCA (Hydroxicitric Acid). (Keats Publishing, New Canaan, CT: 1994).

Drury, Heber. "Garcinia cambogia" in The Useful Plants Of India, 2nd Edition. (William H. Allen and Co., London: 1873) p. 220.

Heymsfield, Steven B, et al. Garcinia cambogia (hydroxycitric acid) as a potential antiobesity agent: a randomized controlled trial. JAMA. 1998 Nov 11;280(18):1596-600.; also, especially, Letters, JAMA 1999;282:235.

Ishihara K, Oyaizu S, Onuki K, Lim K, Fushiki T. Chronic (-)-hydroxycitrate administration spares carbohydrate utilization and promotes lipid oxidation during exercise in mice. J Nutr. 2000 Dec;130(12):2990-5

Lewis, YS, Neelakantan S. (–)-Hydroxycitric acid--the principal acid in the fruits of Garcinia cambogia Desr. Phytochemistry 1965;4:619-625.

Lewis YS. Isolation and properties of hydroxycitric acid. In John M. Lowenstein, ed., volume 13 in Methods in Enzymology, Citric Acid Cycle (New York: Academic Press, 1969) 613-619.

Loe Y, Bergeron N, et al. Time Course of Hydroxycitrate Clearance in Fasting and Fed Humans. FASEB Journal 15;4:632, Abs. 501.1, 2001.

Loe YC, Bergeron N, et al. Gas chromatography/mass spectrometry method to quantify blood hydroxycitrate concentration. Anal Biochem. 2001 May 1;292(1):148-54.

Sheet 3 of 3

LIST OF PRIOR ART CITED BY APPLICANT

Clouatre, et al. ENTERIC DELIVERY OF (-)-HYDROXYCITRIC ACID

Publications & Information Directorate, Council of Scientific & Industrial Research. The Useful Plants of India. (New Delhi: Publications & Information Directorate, 1986) 229.

Rao RN, Sakariah KK. Lipid-lowering and antiobesity effect of (-)-hydroxycitric acid. Nutrition Research 1988;8(2):209-212.

Sugden MC, et al. Brown-adipose-tissue lipogenesis in starvation effects of insulin and (–)hydroxycitrate. Bioscience Reports 1982;2(5):289-297.

Sullivan C, Triscari J. Metabolic regulation as a control for lipid disorders. I. Influence of (--)-hydroxycitrate on experimentally induced obesity in the rodent. Am J Clin Nutr. 1977 May;30(5):767-76.